

# 2005-2007 IT PLAN Summary - Agency Budget Request

## 00117 OFFICE OF THE STATE AUDITOR

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2005B0100117

### AGENCY IT PLAN CONTACT DATA

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### AGENCY IT OVERVIEW

The mission of the State Auditor's Office is to "To provide innovative audit services designed to improve governmental operations and to provide accountability for the benefit of the citizens of North Dakota."

The State Auditor's Office has 3 divisions, State Agency Audits, Political Subdivision Audits, and Royalty Audits. The State Agency Audit division provides audit services to the State Agencies of North Dakota. There are three subsections or specialty groups under the State Agency Audit division; these are performance audit, college and university audit, and information systems audit. The Political Subdivision Audit division provides audit services to the various political subdivisions in North Dakota . The Political Subdivision Audit division is self funded and must compete with private audit firms for clients. The Royalty Audit division audits royalty payments made to the Federal Government by oil and gas companies operating within the State.

The State Auditor's Office is committed to using technology in accomplishing its mission. The State Auditor's Office operates four local area networks, three in the three different office buildings in Bismarck and one in Fargo. Every auditor in the State Auditor's Office has a personal computer assigned to him or her for performing audit analysis and write-up. The State Auditor's Office also uses the state mainframe to access and analyze data from client information systems.

In order to ensure that the auditor's are able to do their work as efficiently and effectively as possible the State Auditor's Office plans to continually upgrade the technology available to the auditors and their technology skills. In addition , the State Auditor's Office will continue to innovate the use of computerized audit techniques in the audit process. Computerized audit techniques range from simply downloading the data from a client's system onto the auditor's personal computer for analysis to inserting audit routines into the client's system to analyze and select transactions during actual operations. The State Auditor's Office is also exploring ways to produce and store audit working papers electronically.

### AGENCY TECHNOLOGY GOALS AND OBJECTIVES

Goal: Use computer technology to improve the efficiency and effectiveness of auditors.

Objectives:

- 1) Provide training to auditors on the use of current and new technology.
- 2) Keep technology current. This includes following state replacement cycle guidelines for personal computers and keeping software within one version of the most current version available.
- 3) Expand the use of computer assisted audit techniques.
- 4) Provide the auditors with access to the Internet, e-mail, and the State Auditor's server whether they are in the office or at a client's site.
- 5) Use Intranet site and internet site to provide a convenient place for employees and customers to find information needed during the audit process.
- 6) Use computer technology to assist in the preparation and storage of audit working papers.

The budget for IT-Equipment under \$5,000 has gone down by approximately \$20,000 due to expected savings from the Desktop replacement contract.

The budget for IT Data Processing has gone up due to ConnectND.

The budget for IT Software/Supplies has gone up due to the costs of Software Assurance for Microsoft Office and maintenance for our audit software (ACL). In the past we did not pay for maintenance on either of these and upgraded by purchasing new copies.

Number of Desktop Computers	31	Windows 98	0
Number of Desktop Computers planned to be replaced	14	Wndows NT	0
Aveage cost of Desktop Computer Replacements	1000	Windows 2000	0
Number of Laptop Computers	33	Windows XP	100
Number of Laptop Computers Planned to be replaced	20	Other	0
Aveage cost of Laptop Computer Replacements	1850		

Number of PC's by Region

1	2	3	4	5	6	7	8
0	0	0	0	14	0	50	0

Agency Technology Activities

# IT Capture Infrastructure Budget Details - Agency Budget Request

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Time: 3:56:02PM

		Current Appropriation	Budget Request	Optional Adjustments	Request Plus Optionals	Subsequent Biennium
<b>30</b>	OPERATING EXPENSES					
IT3002	IT-DATA PROCESSING	\$78,900	\$100,065	\$3,072	\$103,137	\$102,865
IT3003	IT TELEPHONE	\$37,585	\$37,535	\$2,304	\$39,839	\$39,535
IT3005	IT SOFTWARE/SUPPLIES	\$20,200	\$28,750	\$1,656	\$30,406	\$30,350
IT3038	IT EQUIPMENT UNDER \$5000	\$74,000	\$51,575	\$4,120	\$55,695	\$56,255
Total		\$210,685	\$217,925	\$11,152	\$229,077	\$229,005

Funding Source						
ROYALTY AUDIT PROGRAM			\$11,900	\$0	\$11,900	\$11,900
STATE AUDITORS OPERATING FUND 117F			\$35,155	\$0	\$35,155	\$35,155
STATE GENERAL FUND			\$170,870	\$11,152	\$182,022	\$181,950
			\$217,925	\$11,152	\$229,077	\$229,005